

Context-free Grammars

BM1 Advanced Natural Language Processing

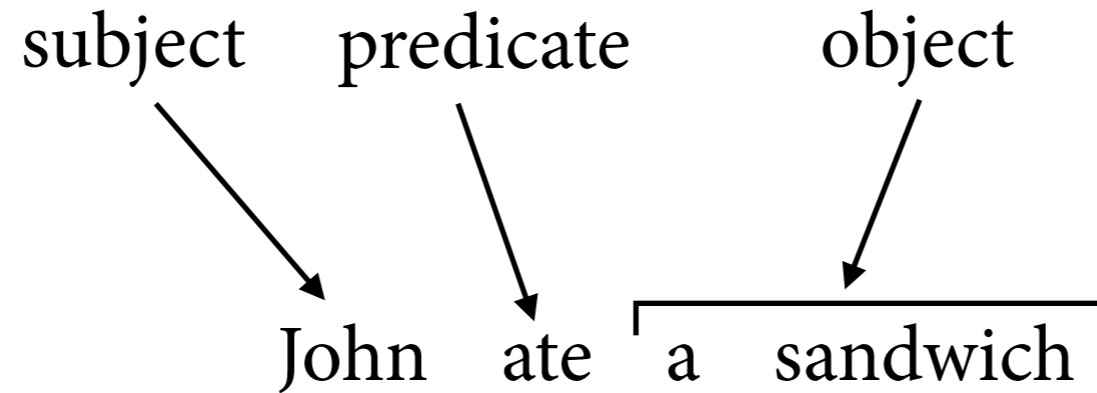
Alexander Koller

21 November 2014

Sentences have structure

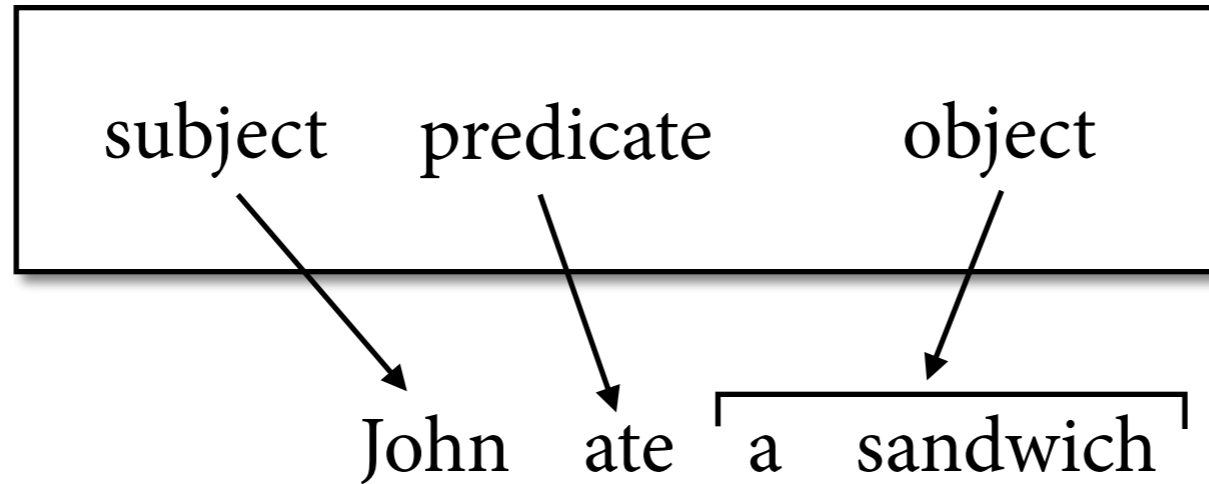
John ate a sandwich

Sentences have structure



Sentences have structure

grammatical functions



Sentences have structure

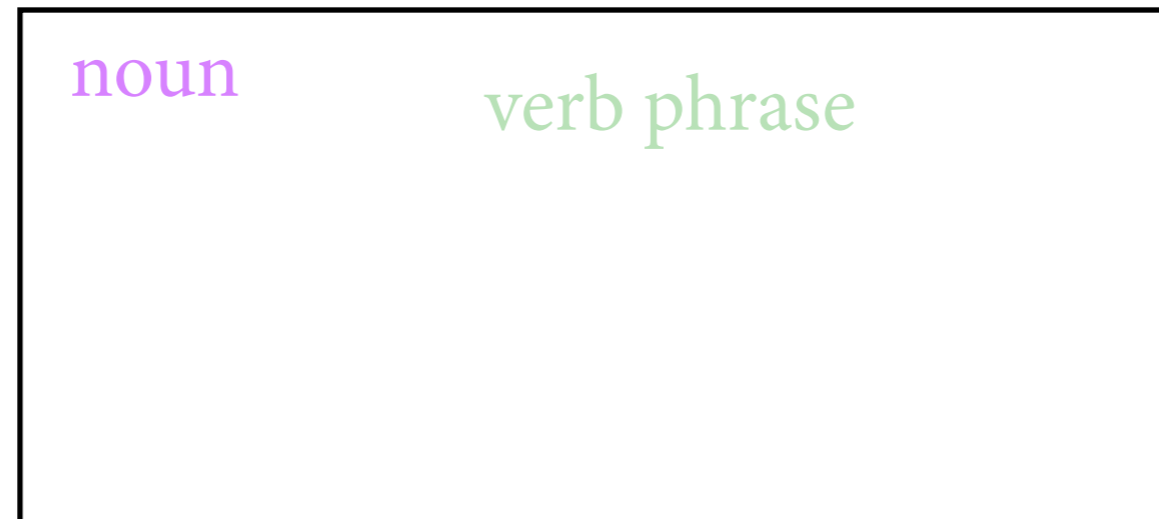
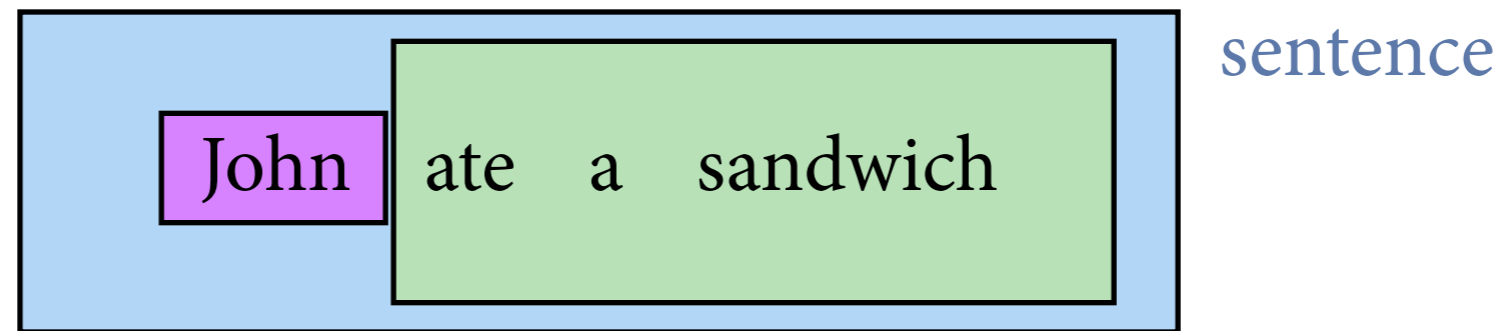
John ate a sandwich

Sentences have structure

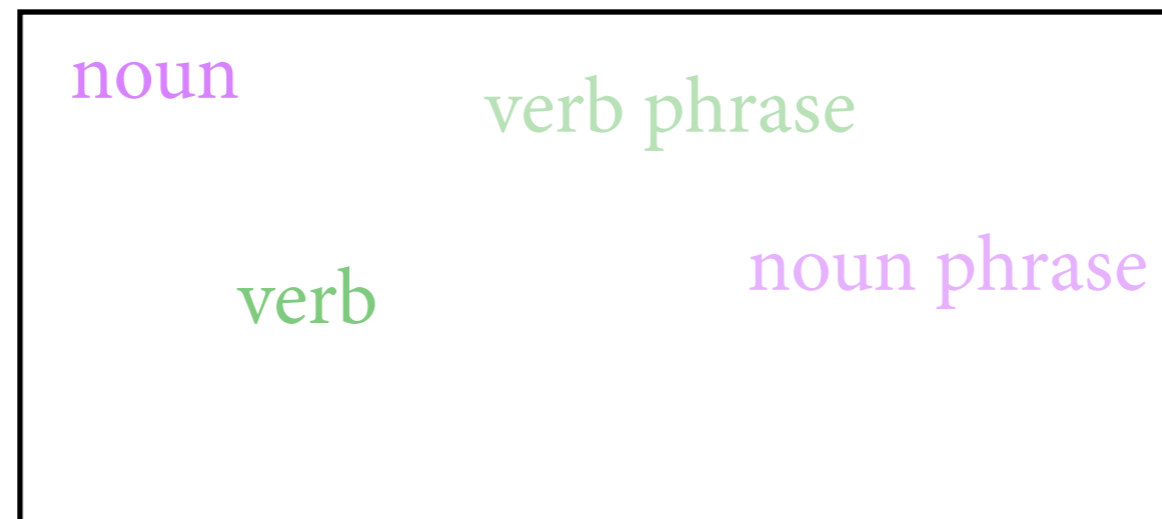
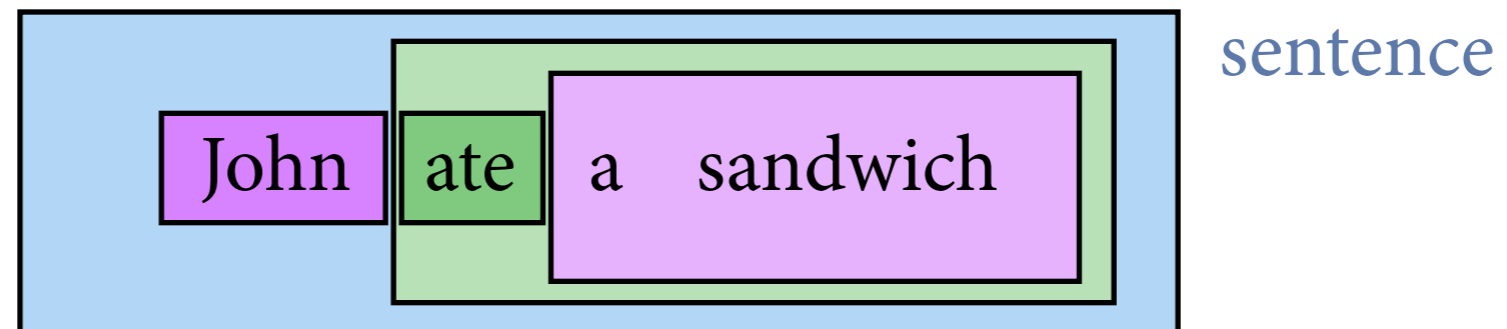
John ate a sandwich

sentence

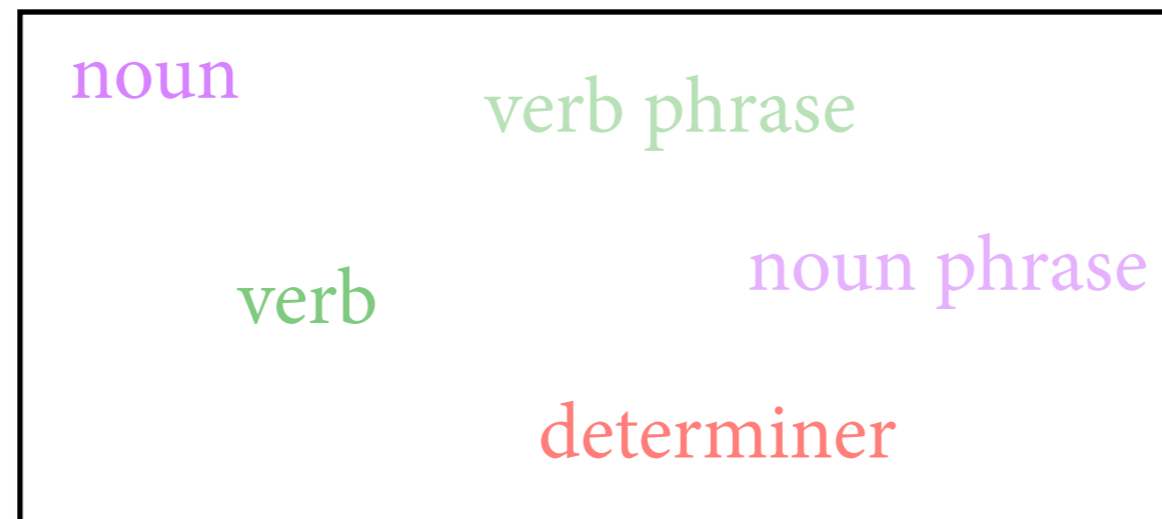
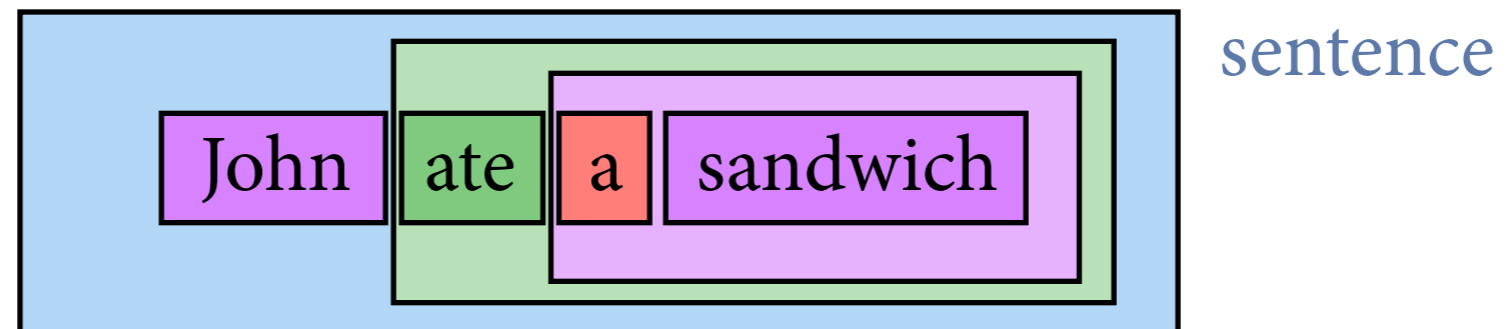
Sentences have structure



Sentences have structure

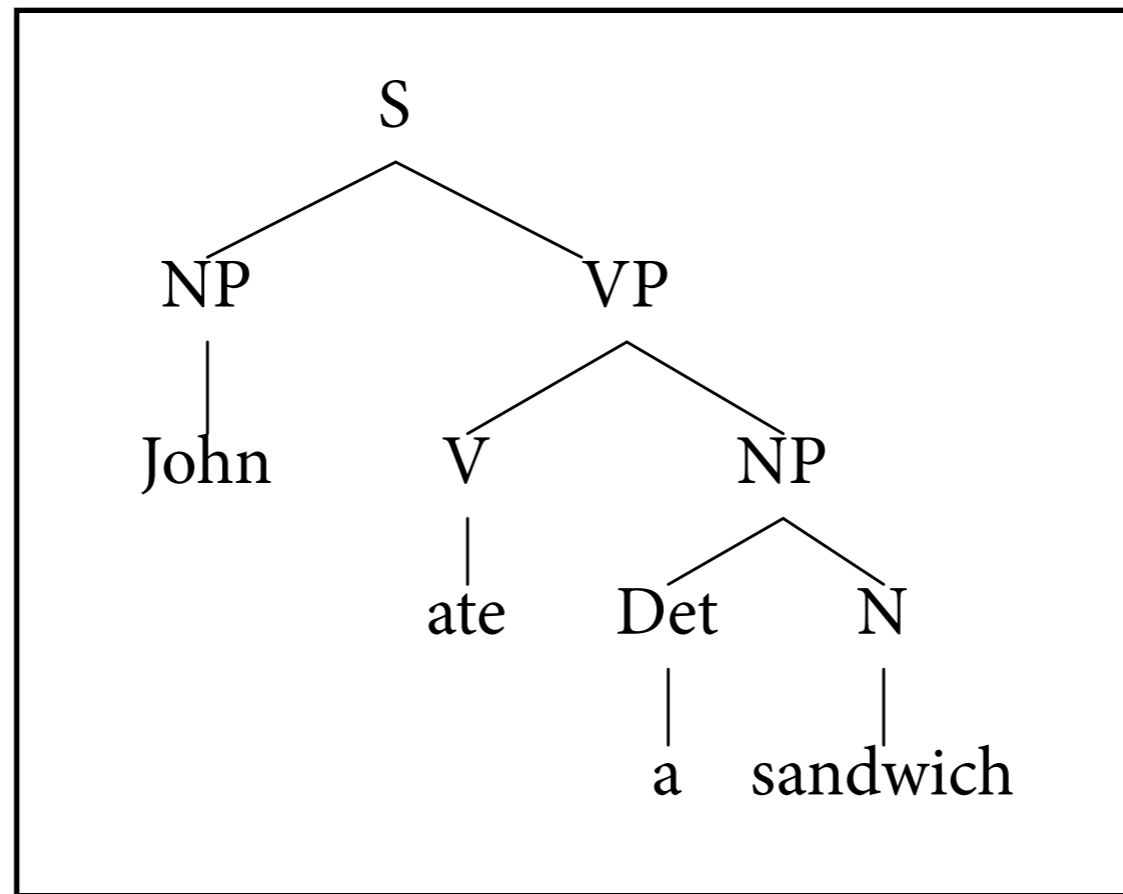


Sentences have structure



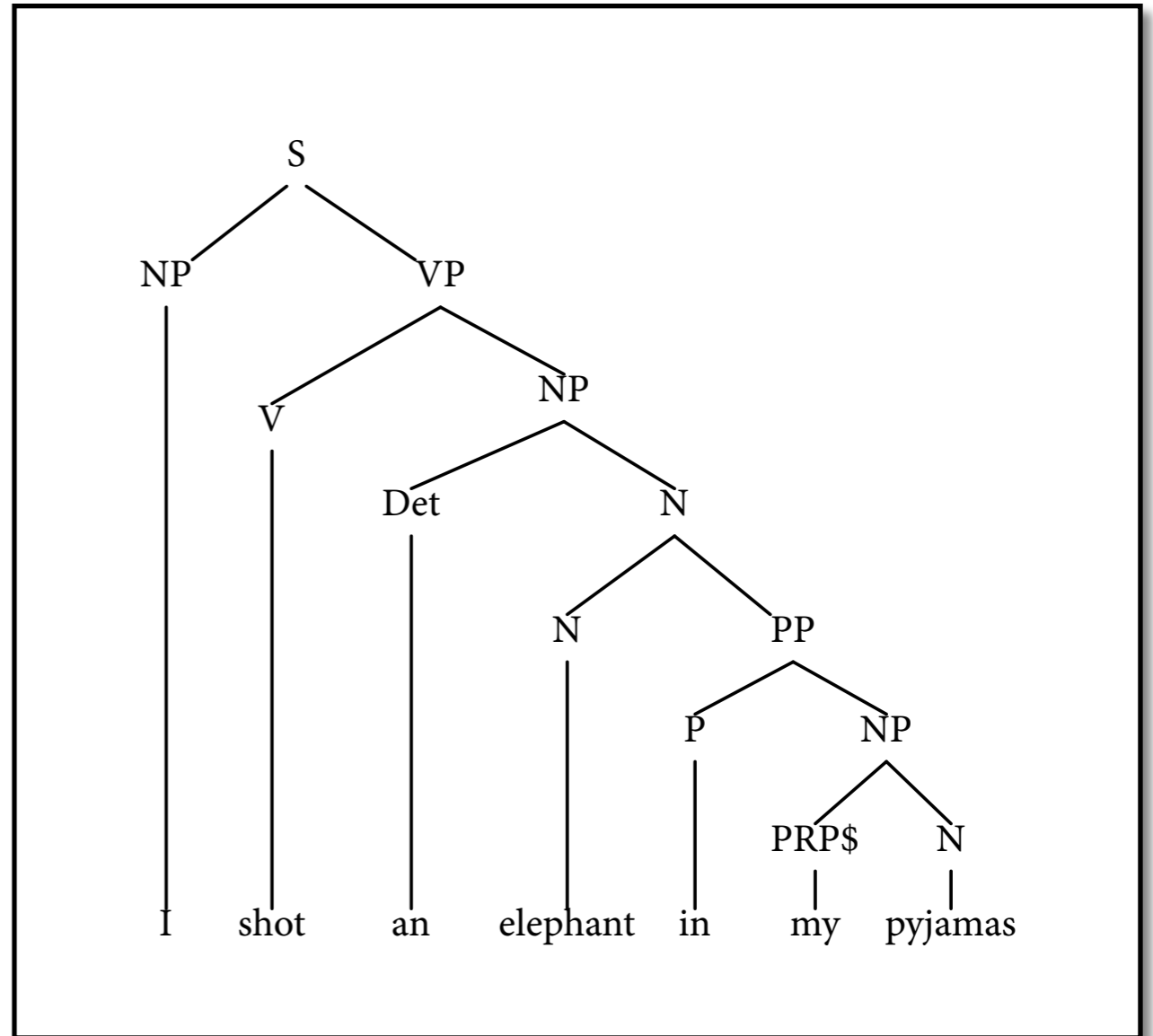
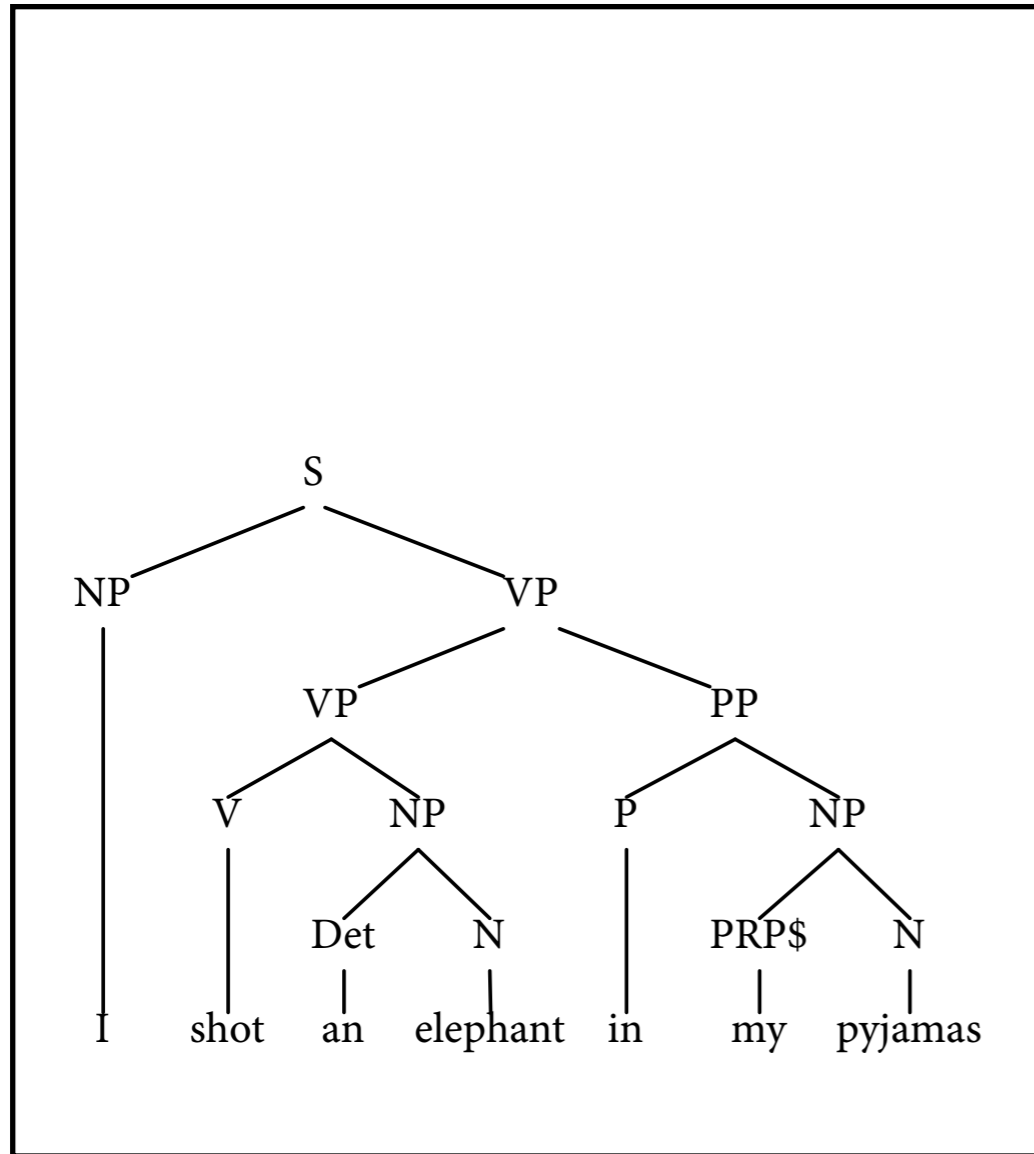
Sentences have structure

Record it conveniently in *phrase structure tree*.



Ambiguity

Special challenge: sentences can have many possible structures.



This sentence is example of *attachment ambiguity*.

Grammars

- A *grammar* is a finite device for describing large (possibly infinite) set of strings.
 - ▶ strings = NL expressions of various types
 - ▶ grammar captures linguistic knowledge about syntactic structure
- There are many different grammar formalisms that are being used in NLP.
- In this course we focus on *context-free grammars*.

Context-free grammars

- Context-free grammar (cfg) G is 4-tuple (N, T, S, P) :
 - ▶ N and T are disjoint finite sets of symbols:
 $T = \textit{terminal}$ symbols; $N = \textit{nonterminal}$ symbols.
 - ▶ $S \in N$ is the *start symbol*.
 - ▶ P is a finite set of *production rules* of the form $A \rightarrow w$,
where A is nonterminal and w is a string from $(N \cup T)^*$.
- Why “context-free”?
 - ▶ Left-hand side of production is a single nonterminal A .
 - ▶ Rule can't look at context in which A appears.
 - ▶ *Context-sensitive* grammars can do that.

Example

$T = \{\text{John, ate, sandwich, a}\}$

$N = \{S, NP, VP, V, N, Det\}$; start symbol: S

Production rules:

$S \rightarrow NP VP$

$V \rightarrow \text{ate}$

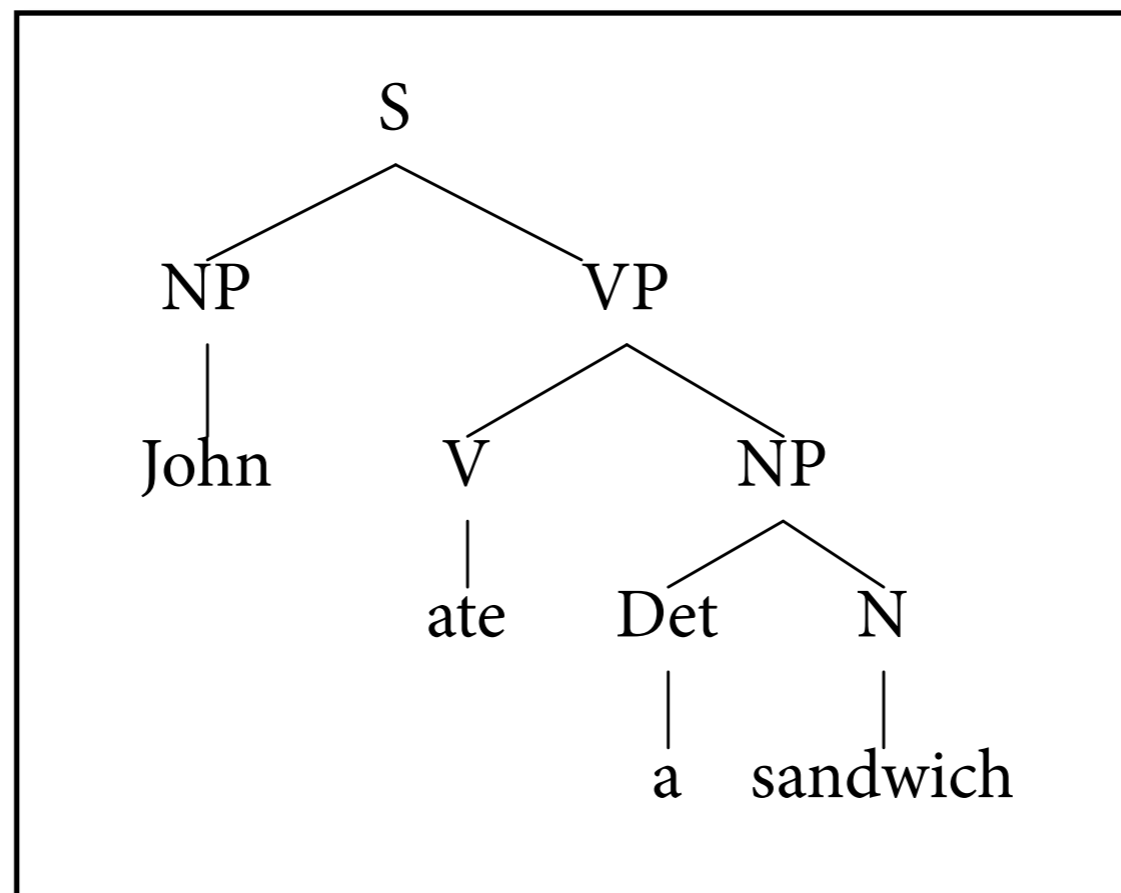
$Det \rightarrow a$

$NP \rightarrow Det N$

$NP \rightarrow \text{John}$

$N \rightarrow \text{sandwich}$

$VP \rightarrow V NP$



Some important concepts

- *One-step derivation* relation \Rightarrow :
 $w_1 A w_2 \Rightarrow w_1 w w_2$ iff $A \rightarrow w$ is in P
(w_1, w_2, w are strings from $(N \cup T)^*$)
- *Derivation* relation \Rightarrow^* is reflexive, transitive closure:
 $w \Rightarrow^* w_n$ if $w \Rightarrow w_1 \Rightarrow \dots \Rightarrow w_n$ (for some $n \geq 0$)
- *Language* $L(G) = \{w \in T^* \mid S \Rightarrow^* w\}$

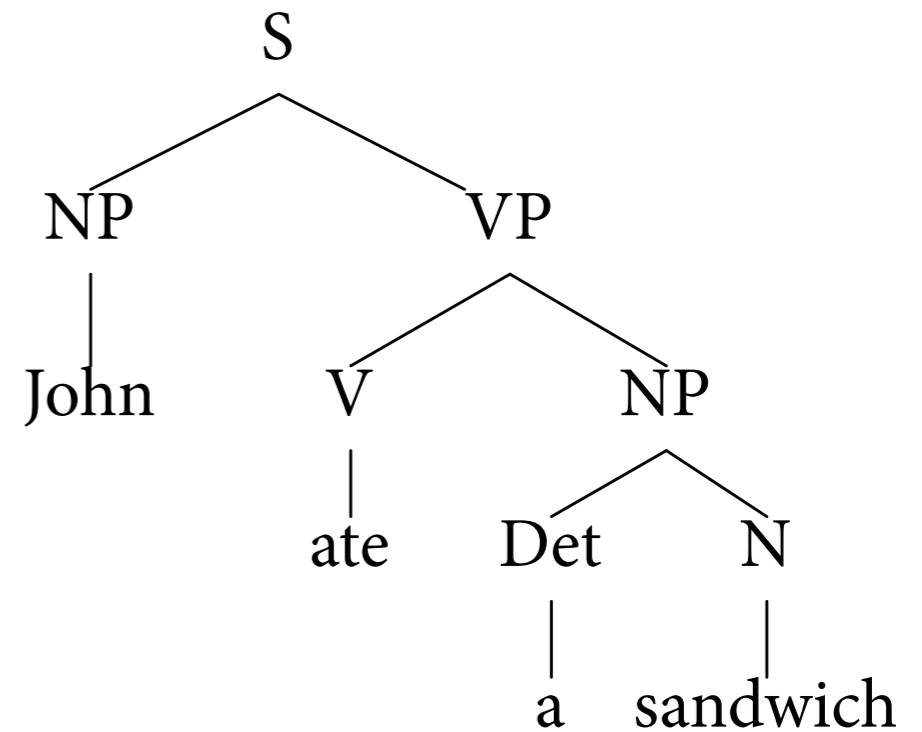
Derivations and parse trees

Parse tree provides readable, high-level view of derivation.

derivation

S \Rightarrow NP VP \Rightarrow John VP
 \Rightarrow John V NP \Rightarrow John ate NP
 \Rightarrow John ate Det N
 \Rightarrow John ate a N
 \Rightarrow John ate a sandwich

parse tree



Recognition and parsing

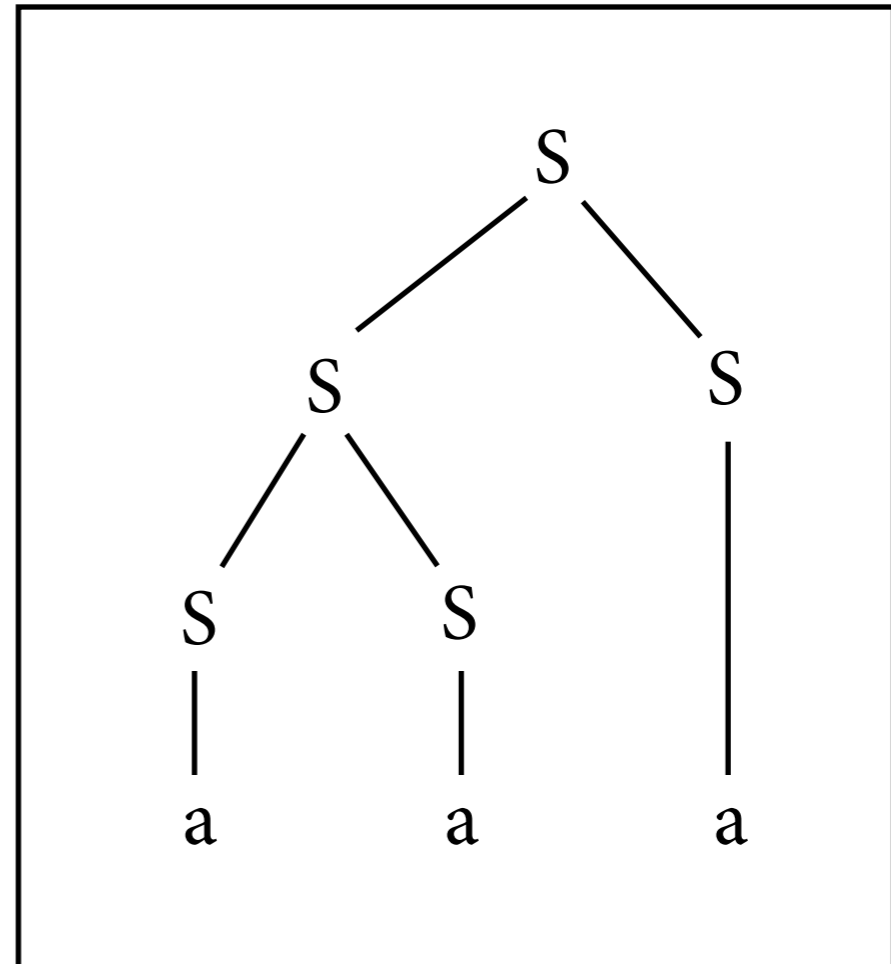
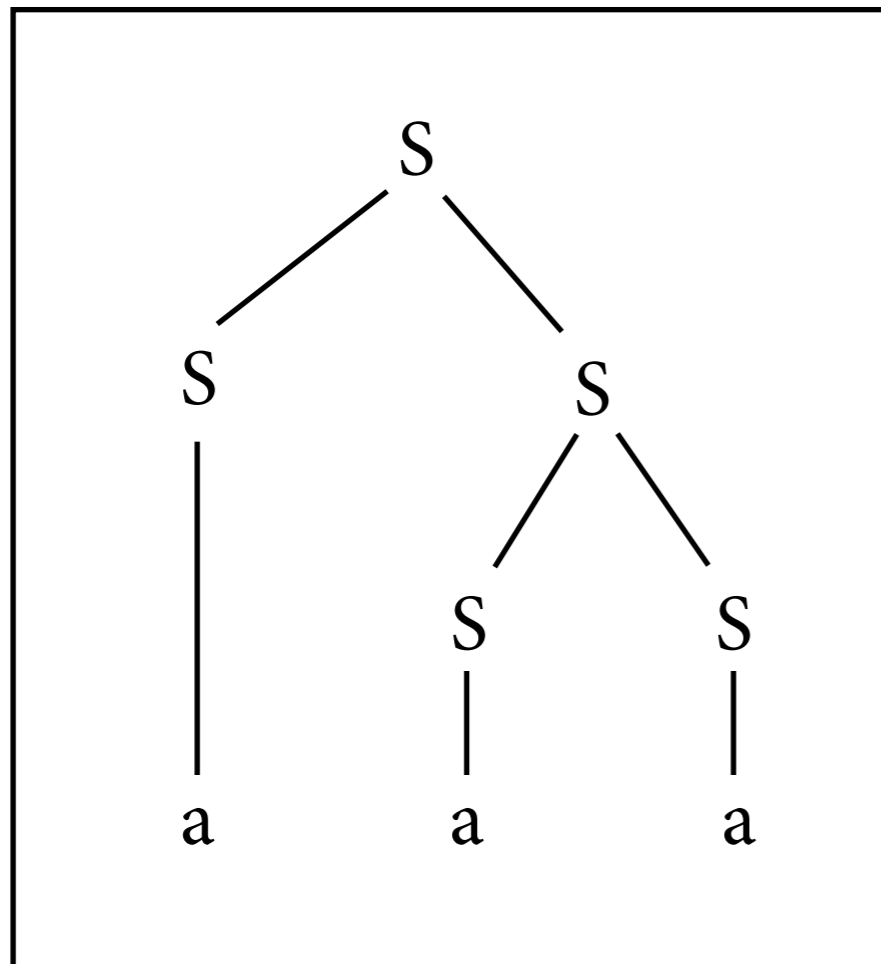
- Let G be a cfg and w be a string.
- *Word problem*: is $w \in L(G)$?
 - ▶ Algorithms that solve it are called *recognizers*.
- *Parsing problem*: enumerate all parse trees of w .
 - ▶ Algorithms that solve it are called *parsers*.
- Every parser also solves the word problem.

Big languages

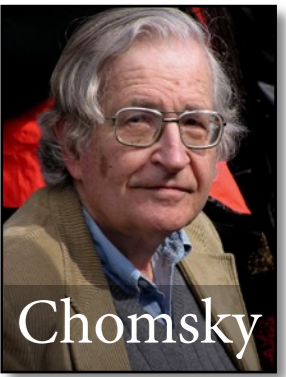
Number of parse trees can grow exponentially in string length.

$S \rightarrow S S$

$S \rightarrow a$

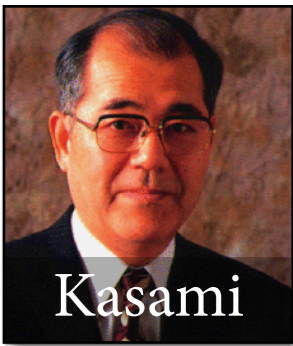
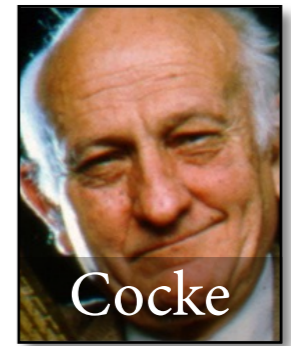


Chomsky Normal Form



- A cfg is *in Chomsky normal form (CNF)* if each of its production rules has one of these two forms:
 - ▶ $A \rightarrow BC$: right-hand side is exactly two nonterminals
 - ▶ $A \rightarrow c$: right-hand side is exactly one terminal
- For every cfg G , there is a weakly equivalent cfg G' which is in CNF.
 - ▶ that is, $L(G) = L(G')$

The CKY Algorithm



- Simplest and most-used chart parser for cfgs in CNF.
- Developed independently in the 1960s by John Cocke, Daniel Younger, and Tadao Kasami.
 - ▶ sometimes also called CYK algorithm
- Bottom-up algorithm for discovering statements of the form “ $A \Rightarrow^* w_1 \dots w_{k-1} ?$ ”

The CKY Recognizer

$S \rightarrow NP VP$

$V \rightarrow \text{ate}$

$\text{Det} \rightarrow \text{a}$

$NP \rightarrow \text{Det } N$

$NP \rightarrow \text{John}$

$N \rightarrow \text{sandwich}$

$VP \rightarrow V NP$

Chart

	$i = 1$	2	3	4
5				... sandwich
4			... ate	a sandwich
3		... John	ate	
$k = 2$	John			

Cell at **column** i , **row** k :

$\{ A \mid A \Rightarrow^* w_i \dots w_{k-1} \}$

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4			Det ... a	sandwich
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Chart

	$i = 1$	2	3	4
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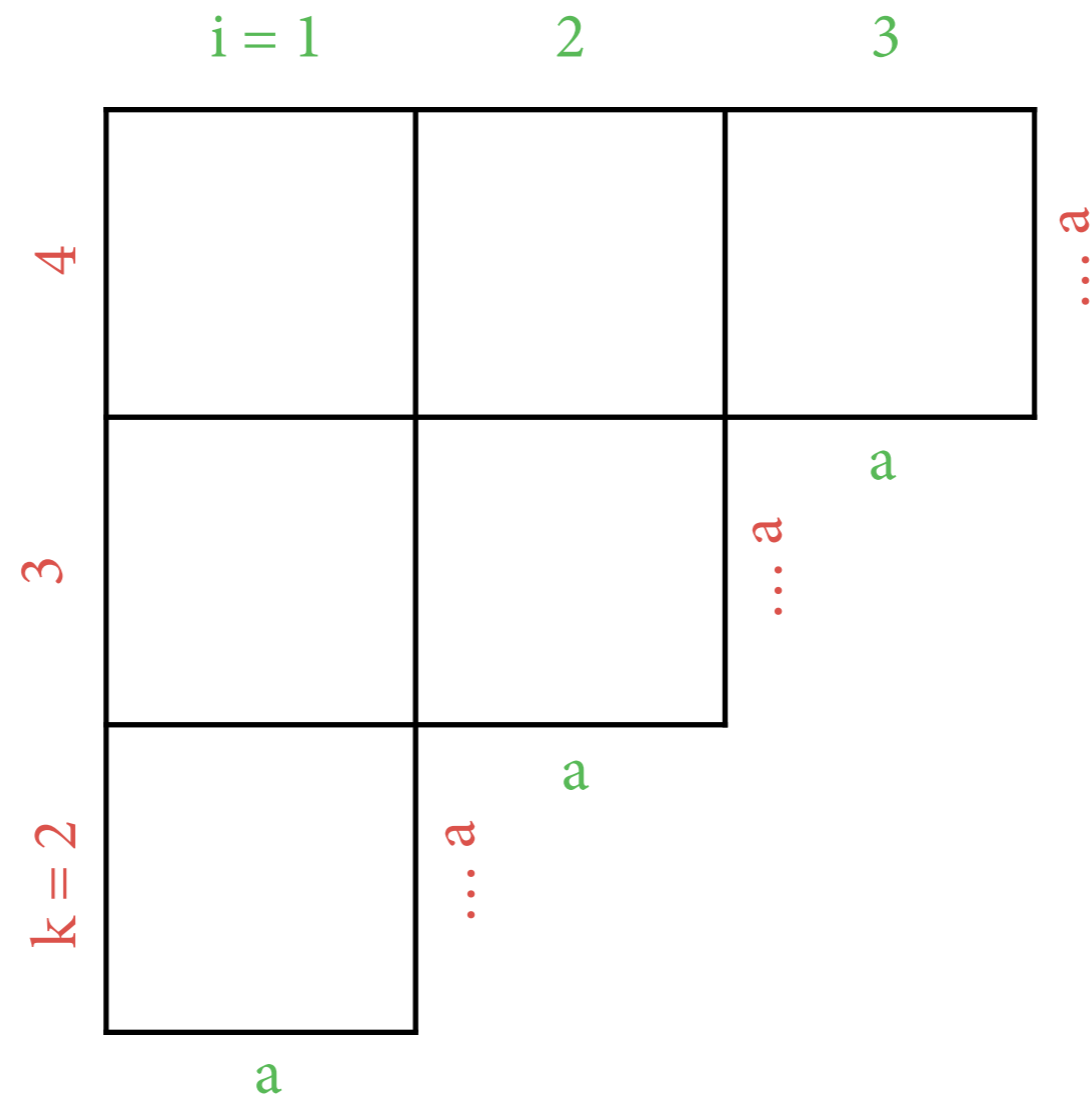
$S \Rightarrow^* w$

	$i = 1$	2	3	4
5	S	VP	NP	N
4			Det	... a sandwich
3		V	... ate	a
$k = 2$	NP	... John	ate	
	John			

Cell at column i , row k :
 $\{ A \mid A \Rightarrow^* w_i \dots w_{k-1} \}$

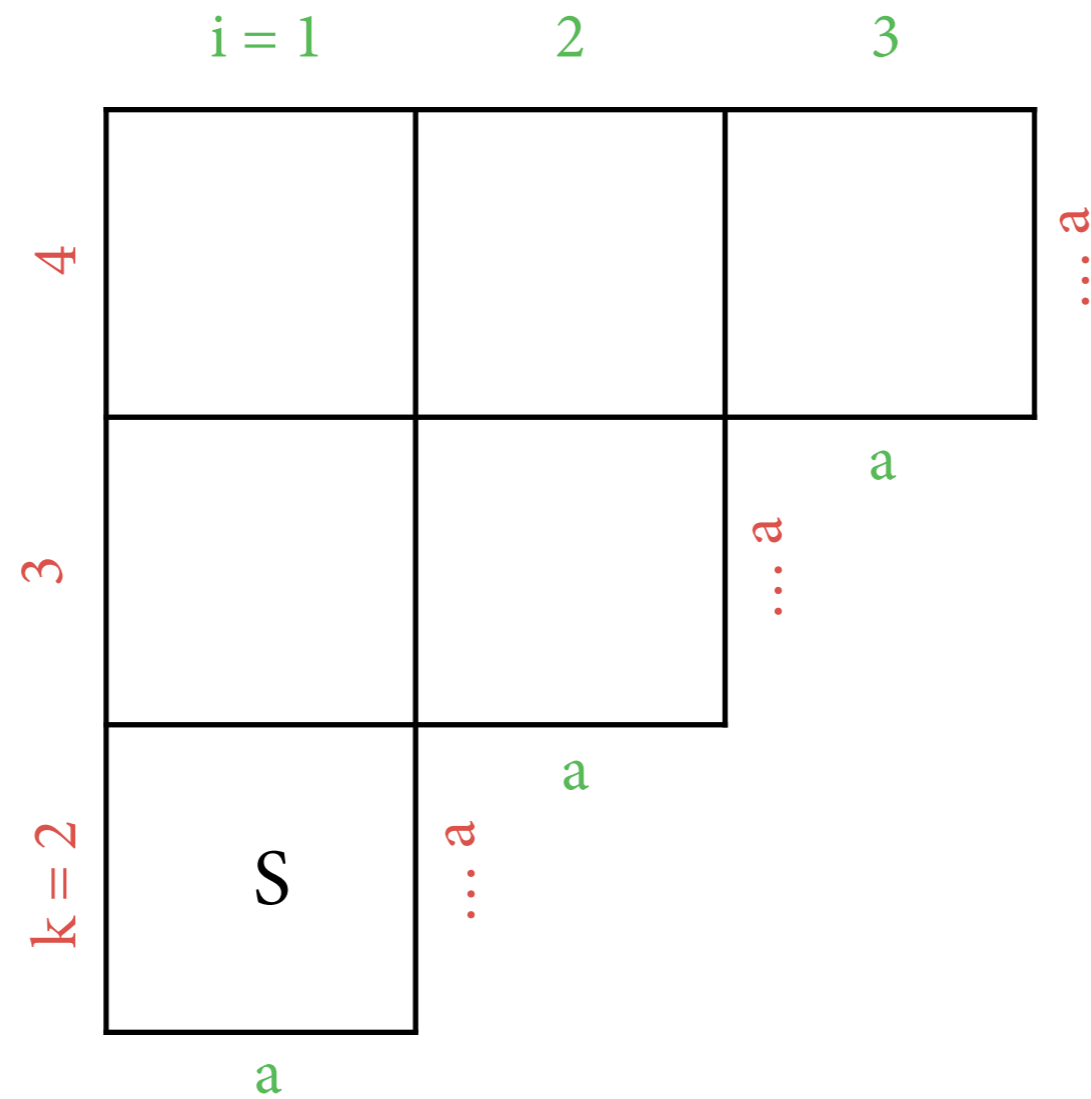
The CKY Recognizer

$S \rightarrow SS$ $S \rightarrow a$



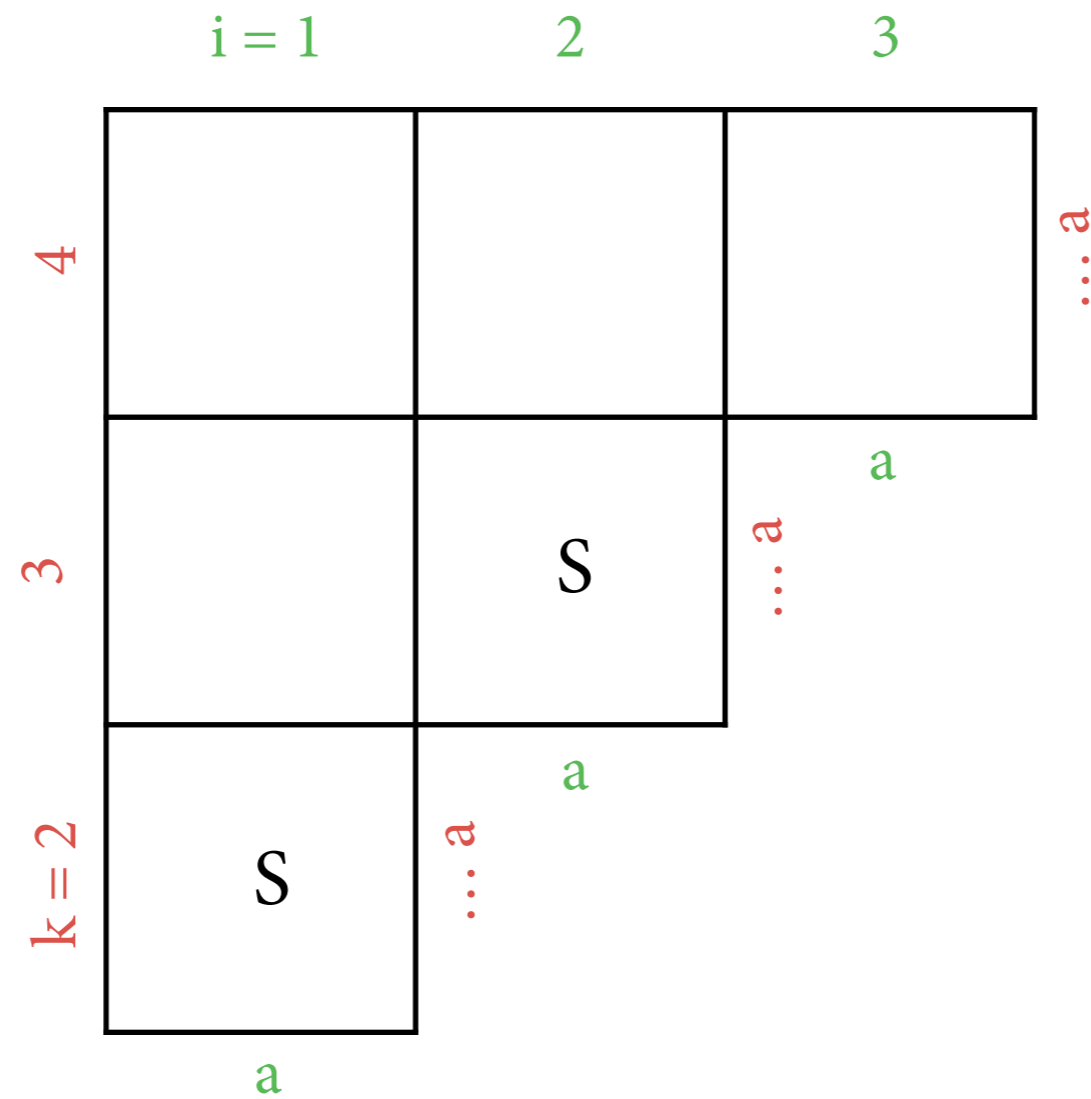
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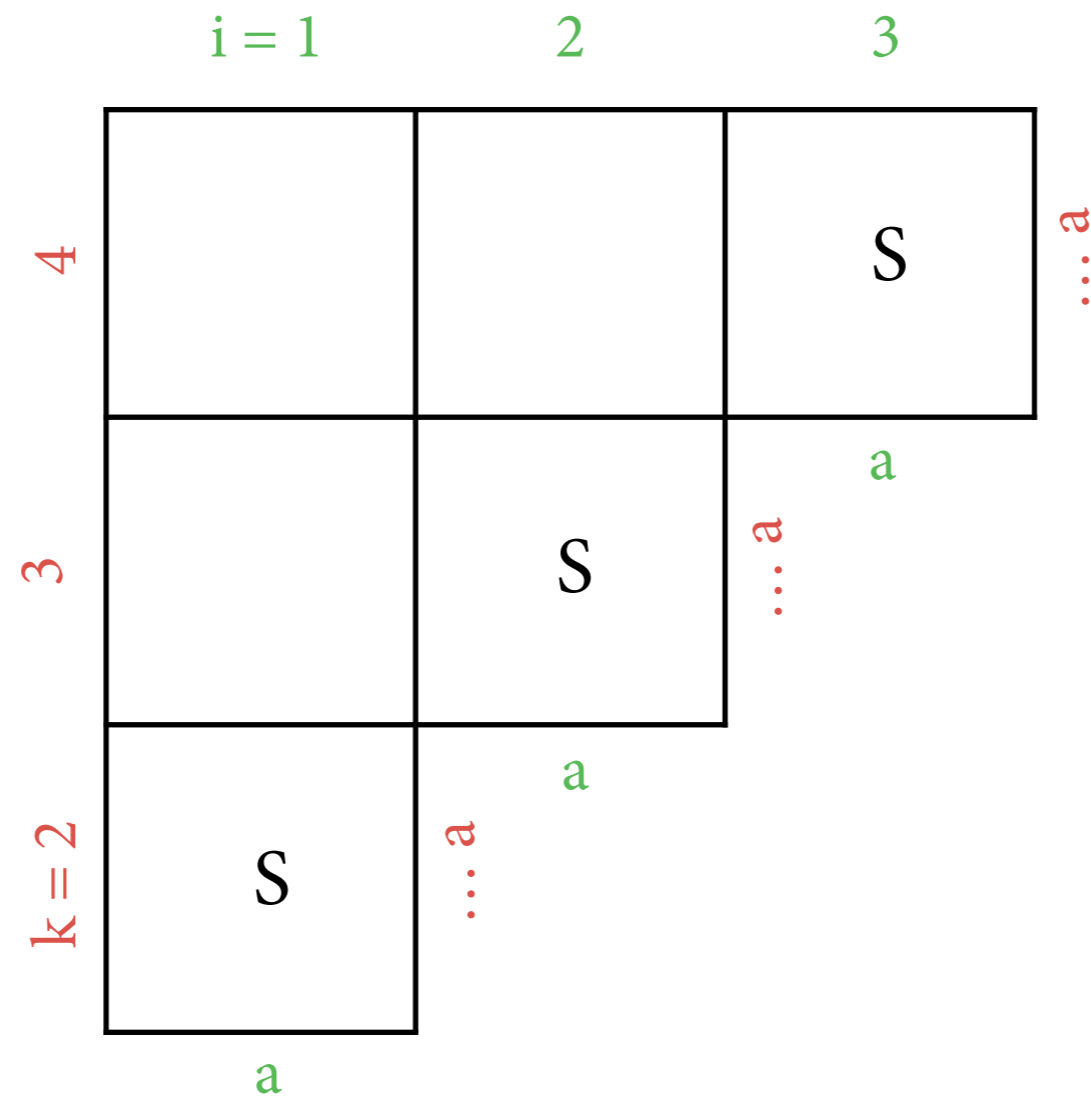
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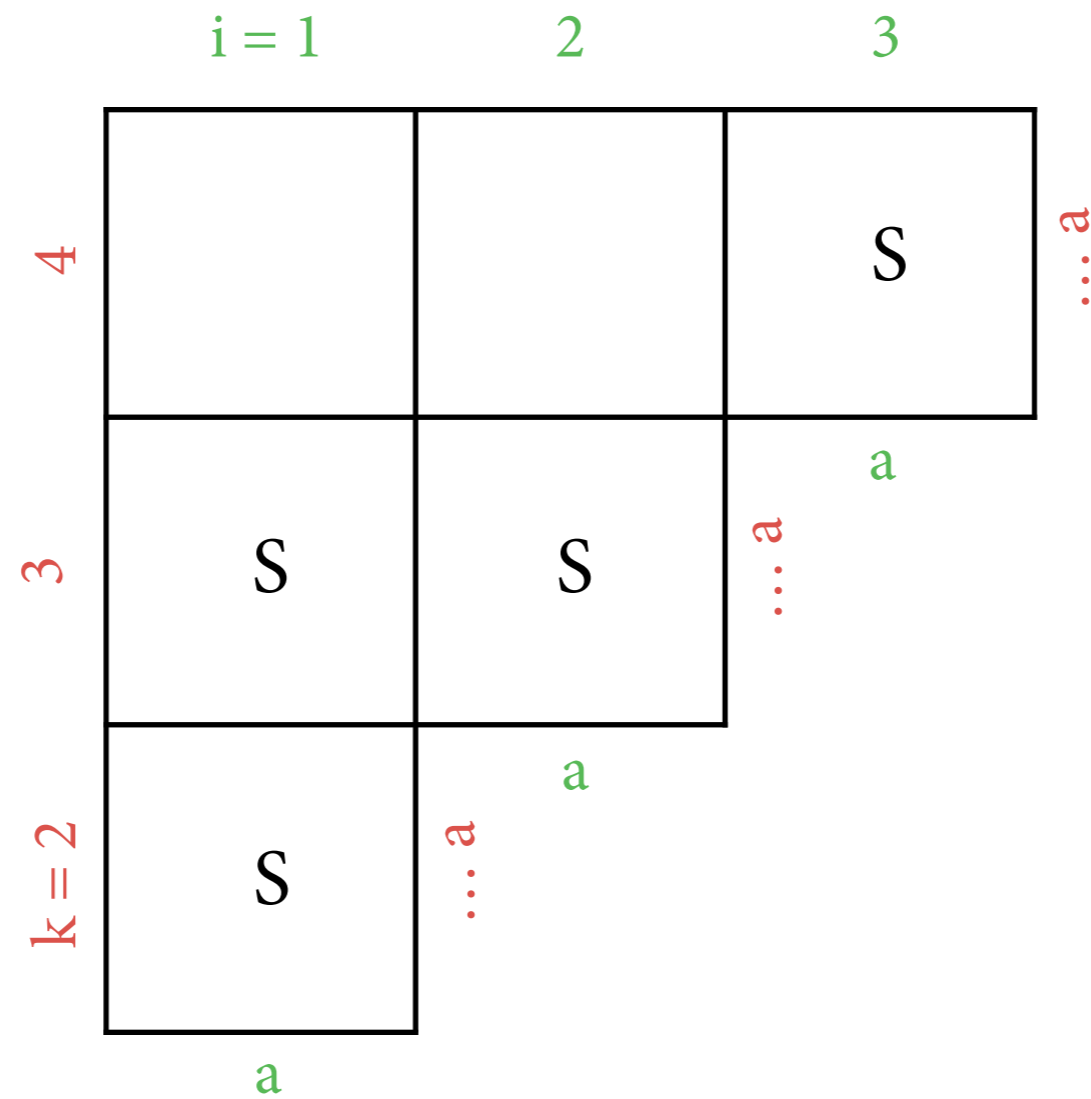
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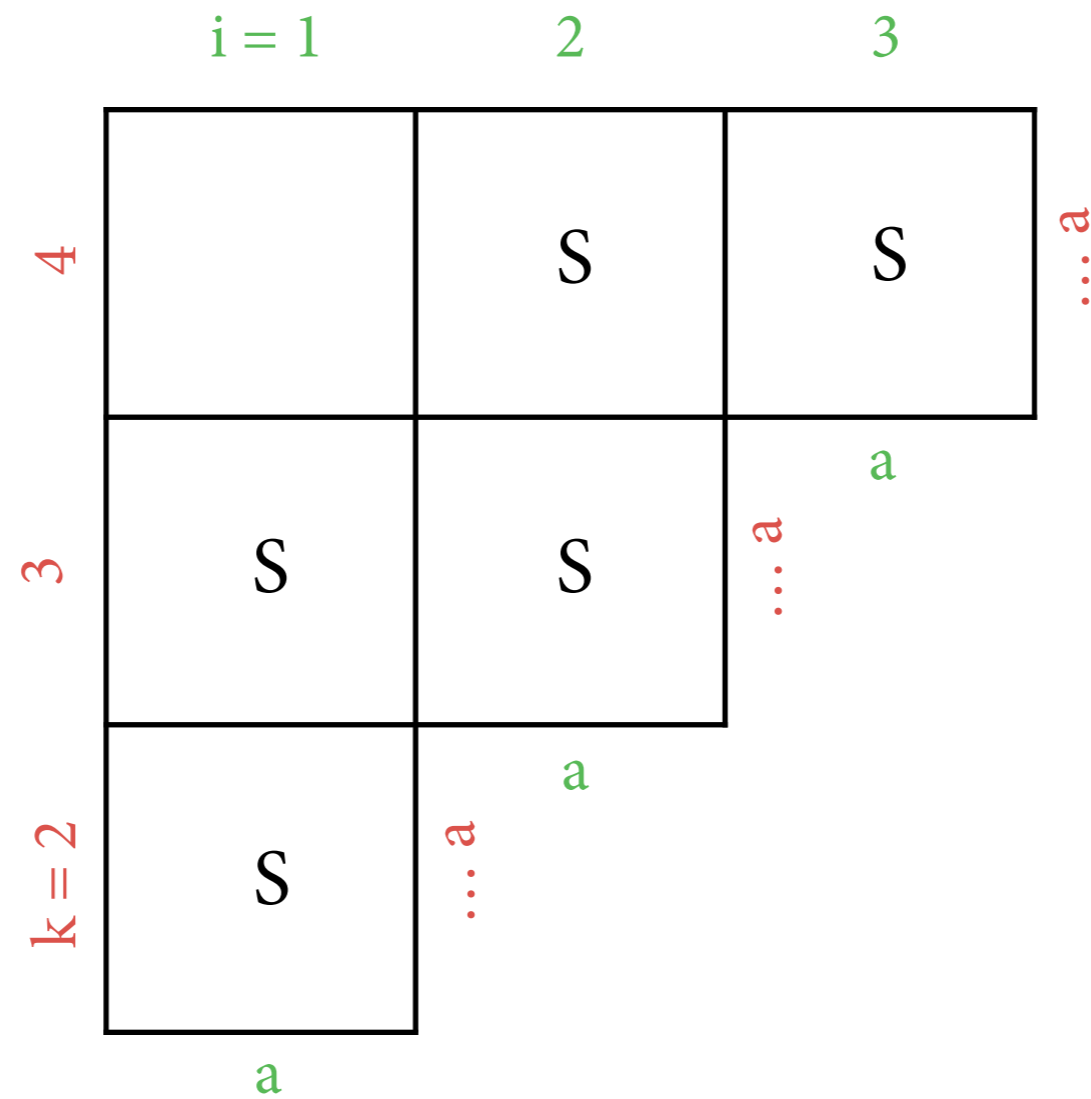
The CKY Recognizer

$S \rightarrow S S$ $S \rightarrow a$



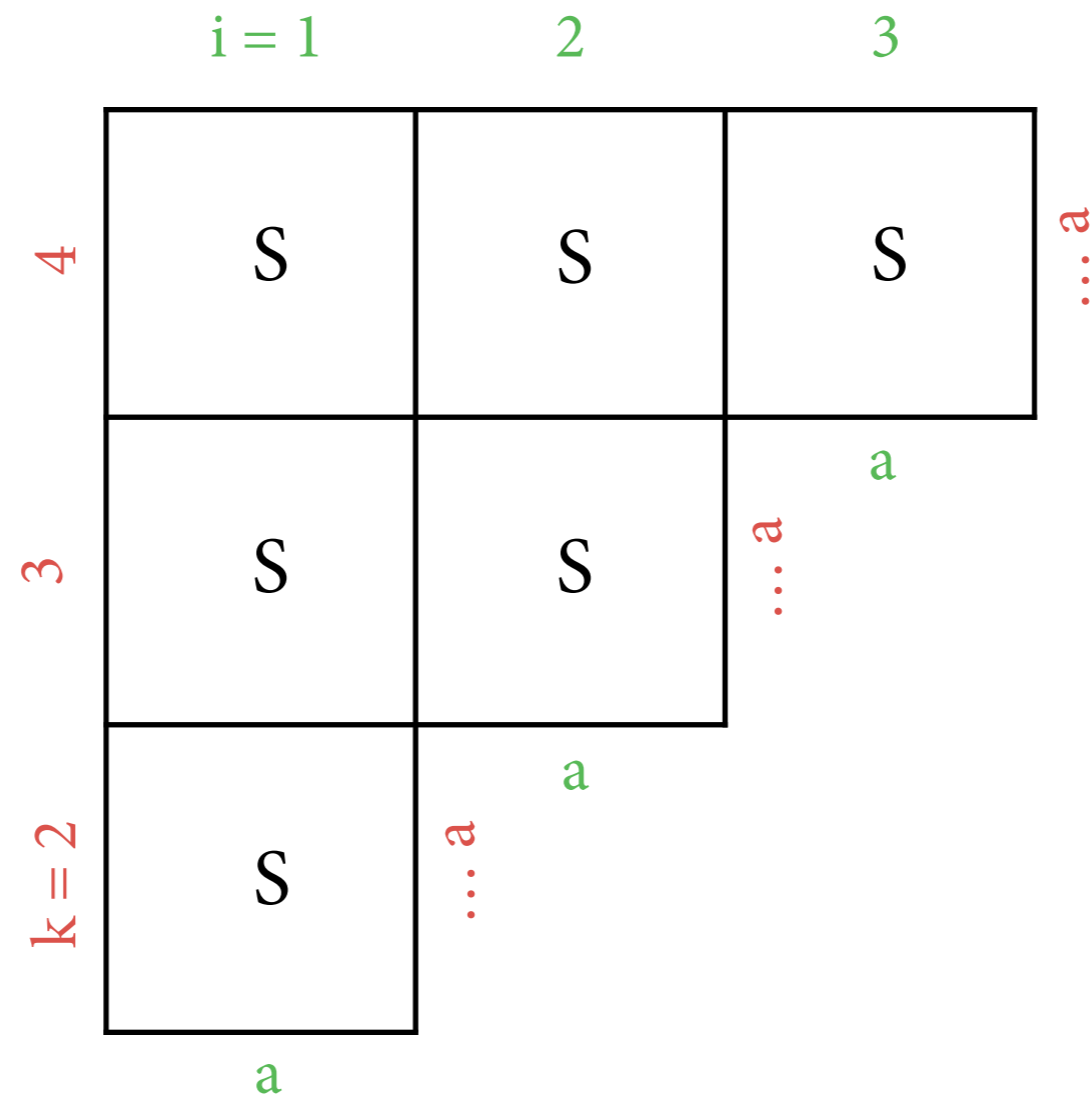
The CKY Recognizer

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The CKY Recognizer

$S \rightarrow SS$ $S \rightarrow a$



CKY recognizer: pseudocode

Data structure: $\text{Ch}(i,k)$ contains $\{A \mid A \Rightarrow^* w_i \dots w_{k-1}\}$
(initially all empty).

for each i from 1 to n :

 for each production rule $A \rightarrow w_i$:
 add A to $\text{Ch}(i, i+1)$

for each *width* b from 2 to n :

 for each *start position* i from 1 to $n-b+1$:
 for each *left width* k from 1 to $b-1$:
 for each $B \in \text{Ch}(i, i+k)$ and $C \in \text{Ch}(i+k, i+b)$:
 for each production rule $A \rightarrow B C$:
 add A to $\text{Ch}(i, i+b)$

claim that $w \in L(G)$ iff $S \in \text{Ch}(1, n+1)$

Complexity

- *Time* complexity of CKY recognizer is $O(n^3)$, although number of parse trees grows exponentially.
- *Space* complexity of CKY recognizer is $O(n^2)$ (one cell for each substring).
- Efficiency depends crucially on CNF. Naive generalization of CKY to rules $A \rightarrow B_1 \dots B_r$ raises time complexity to $O(n^{r+1})$.

Correctness

- *Soundness: CKY only derives true statements.*
 - ▶ If CKY puts A into $\text{Ch}(i,k)$, then there is rule $A \rightarrow BC$ and some j with $B \in \text{Ch}(i,j)$ and $C \in \text{Ch}(j,k)$.
 - ▶ Induction hypothesis: for shorter spans, have $B \Rightarrow^* w_i \dots w_{j-1}$.
Thus $A \Rightarrow B C \Rightarrow^* w_i \dots w_{j-1} C \Rightarrow^* w_i \dots w_{k-1}$
- *Completeness: CKY derives all true statements.*
 - ▶ Each derivation $A \Rightarrow^* w_i \dots w_{k-1}$ starts with a first step;
say $A \Rightarrow B C \Rightarrow^* w_i \dots w_{j-1} C \Rightarrow^* w_i \dots w_{k-1}$
 - ▶ Important: ensure that all nonterminals for shorter spans are known before filling $\text{Ch}(i,k)$.

Recognizer to Parser

- Parser: need to construct parse trees from chart.
- Do this by memorizing how each $A \in \text{Ch}(i,k)$ can be constructed from smaller parts.
 - ▶ built from $B \in \text{Ch}(i,j)$ and $C \in \text{Ch}(j,k)$ using $A \rightarrow B C$: store (B,C,j) in *backpointer* for A in $\text{Ch}(i,k)$.
 - ▶ analogous to backpointers in HMMs
- Once chart has been filled, enumerate trees recursively by following backpointers, starting at $S \in \text{Ch}(1,n+1)$.

Conclusion

- Context-free grammars: most popular grammar formalism in NLP.
 - ▶ there are also other, more expressive grammar formalisms
- CKY: most popular parser for cfgs.
 - ▶ there are also other, more complicated algorithms
- Next week: put parsing and statistics together.